



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Venkat Selvamanickam, et al.

Title: APPARATUS FOR AND METHOD OF COOLING AND POSITIONING  
A TRANSLATING SUBSTRATE TAPE FOR USE WITH A  
CONTINUOUS VAPOR DEPOSITION PROCESS

Application No.: 10/609,236

Filed: June 26, 2003

Examiner: Ram N. Kackar

Group Art Unit: 1763

Atty. Docket No.: 1014-SP106

MS AMENDMENT  
COMMISSIONER FOR PATENTS  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the undersigned brings the patents, publications, applications or other information identified in the attached:

- ☒ Form(s) PTO/SB/08A and/or PTO/SB/08B or PTO/1449  
☐ Other: n/a

to the Examiner's attention in the above-identified application. Citation of such information shall not be construed as:

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3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

For each item of information listed that is not in the English language, the undersigned has provided a concise explanation of the relevance, such as through (i) an English language abstract, (ii) an English language equivalent application, (iii) reference to discussion in the application, or (iv) if cited in a search report or other action by a foreign patent office in a

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| <u>Elise K. Dougherty</u><br>Typed or Printed Name  | <u>E.K. Dougherty</u><br>Signature |

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counterpart foreign application, an English language version of the search report or action that indicates the degree of relevance found by the foreign office.

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If the above-identified application is an original application filed on or after  
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- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.

FEES DUE

This Information Disclosure Statement is being filed:

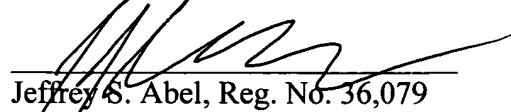
- ☐ within three months of the filing date of a national application or within three months of entry of the national stage as set forth in § 1.491 in an international application. Therefore, no fee is required.
- ☐ before the mailing date of a first Office action on the merits or before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114. Therefore, no fee is believed required.
- ☐ during the period specified in § 1.97(c). Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of this Information Disclosure Statement.
- ☒ during the period specified in § 1.97(c). Accordingly, the fee set forth in § 1.17(p) is required and provided as shown on the attached Fee Transmittal.
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Date

8/17/05

Respectfully submitted,



Jeffrey S. Abel, Reg. No. 36,079

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STATEMENT BY APPLICANT**

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Sheet

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of

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**Complete if Known**

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|------------------------|----------------------|
| Application Number     | 10/609,236           |
| Filing Date            | June 26, 2003        |
| First Named Inventor   | Venkat Selvamanickam |
| Art Unit               | 1763                 |
| Examiner Name          | Ram N. Kackar        |
| Attorney Docket Number | 1014-SP106           |

**U. S. PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|--------------------|-----------------------|--|--------------------------------|--|---|
|                    |                       | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
|                    | A                     | US- 6,045,864                            | 04/04/2000                     | Lyons et al.                                       |   |
|                    | B                     | US- 5,470,668                            | 11/28/1995                     | Wu et al.  |   |
|                    | C                     | US- 5,993,622                            | 11/30/1999                     | Szczyrbowski et al.                                |   |
|                    | D                     | US- 4,506,453                            | 03/26/1985                     | Shirley, Jr. et al.                                |   |
|                    | E                     | US- 6,055,446                            | 04/25/2000                     | Kroeger et al.                                     |   |
|                    | F                     | US- 2004/0261708 A1                      | 12/30/2004                     | Selvamanickam et al.                               |   |
|                    | G                     | US- 4,925,829                            | 05/15/1990                     | Fujita et al.                                      |   |
|                    | H                     | US- 5,900,391                            | 05/04/1999                     | Sakakibara et al.                                  |   |
|                    | I                     | US- 5,846,911                            | 12/08/1998                     | Freyhardt et al.                                   |   |
|                    | J                     | US- 2001/0011524 A1                      | 08/09/2001                     | Witzman et al.                                     |   |
|                    | K                     | US-                                      |                                |  |   |
|                    | L                     | US-                                      |                                |  |   |
|                    | M                     | US-                                      |                                |  |   |
|                    | N                     | US-                                      |                                |  |   |
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|                    | R                     | US-                                      |                                |  |   |
|                    | S                     | US-                                      |                                |  |   |

**FOREIGN PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear | T <sup>6</sup> |
|--------------------|-----------------------|---|--------------------------------|--|---|----------------|
|                    |                       | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) |                                |  |   |                |
|                    | T                     | JP 56-034148 A  | 04/06/1981                     | Hitachi Maxell Ltd.                                | English Abstract  |                |
|                    | U                     |   |                                |  |   |                |
|                    | V                     |   |                                |  |   |                |
|                    | W                     |   |                                |  |   |                |
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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